

## Lecture 7: More on $SU(3)_{\text{flavor}}$

- Review from Last Time
- $SU(2)$  and  $SU(3)$ : Group Theory and Quark Model Interpretation
- The Meson Multiplets
- The Baryon Multiplets
- Antiparticles
- Fermi Statistics and the Need for Color?
- $SU(3)$  Breaking and Mass Formulae
- Magnetic Moments

## Review From Last Time

- Strongly interacting particles (hadrons) classified as spin-1/2 baryons and integer spin mesons
  - $\exists$  families of particles with same spin and parity and similar masses, but with different charges.
  - Strong interactions of particles within a family are the same
  - Eg, protons and neutrons have very similar masses and see same nuclear interactions
- Postulate that particles within a family are related by a symmetry property
  - Isospin
  - Once strangeness discovered, extend to SU(3)

## Charge: Determined from Strangeness and $I_z$

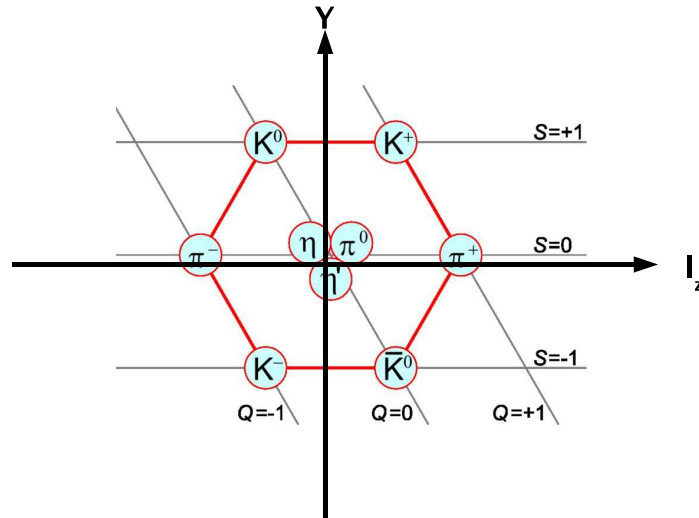
- We've already seen that within an isospin multiplet, different  $I_z$  have different charge
- Can generalize this observation for all light quark ( $u, d, s$ ) multiplets:

$$Q = I_z + \frac{B + S}{2}$$

Define hypercharge  $Y \equiv B + S$

- This is called the Gell Mann-Nishijima Eq
- Note: Because  $Q$  is determined from  $I_3$ , EM interactions cannot conserve isospin, but do conserve  $I_3$ 
  - This is analogous to the Zeeman effect in atomic physics where a  $B$  field in  $z$  direction destroys conservation of angular momentum, but leaves  $J_z$  as a good quantum number
- EM coupling  $\sim 1\%$  so effects of isospin non-conservation are small and can be treated as perturbative correction to strong interaction

# Group Theory Interpretation



- Describe particles with same spin, parity and charge conjugation symmetry as members of a multiplet with different  $I_z$  and  $Y$
- Will define (next 2 pages) raising and lowering operators to navigate around the multiplet
- Gell Man and Zweig suggested that patterns of multiplets could be explained if all hadrons were made of quarks
  - Mesons:  $q\bar{q} \quad 3 \otimes \bar{3} = 1 \oplus 8$
  - Baryons:  $qqq \quad 3 \otimes 3 \otimes 3 = 1 \oplus 8 \oplus 8 \oplus 10$
- In those days, 3 flavors (extension to 6 discussed later)

# Introduction to Group Theory (via SU(2))

- Let's start by reviewing SU(2) Isospin
- Fundamental representation: a doublet

$$\chi = \begin{pmatrix} u \\ d \end{pmatrix} \quad \text{so} \quad u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad d = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- Define rotation in isospin space in terms of infinitesimal generators of the rotations

$$\tau_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \tau_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \tau_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- The  $\tau$  matrices satisfy commutation relations

$$[\frac{1}{2}\tau_i, \frac{1}{2}\tau_j] = i \frac{1}{2}\epsilon_{ijk}\tau_k$$

These commutation relations define the SU(2) algebra

- We can have higher representations of SU(2):  $N \times N$  matrices with  $N = 2I + 1$
- Also, there is an operator that commutes with all the  $\tau$ 's:

$$I^2 = (\frac{1}{2}\vec{\tau})^2 = \frac{1}{4}\sum_i \tau_i^2$$

and there are raising and lowering operators

$$\tau_{\pm} = \frac{1}{2}(\tau_1 \pm i\tau_2)$$

## Extension to SU(3))

- SU(3): All unitary transformations on 3 component complex vectors without the overall phase rotation (U(1))

$$U^\dagger U = U U^\dagger = 1 \quad \det U = 1$$

$$U = \exp[i \sum_{a=1}^8 \lambda_a \theta_a / 2]$$

- The fundamental representation of SU(3) are  $3 \times 3$  matrices

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \quad \lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad \lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad \lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

- Commutation relations:

$$\left[ \frac{\lambda_a}{2}, \frac{\lambda_b}{2} \right] = i f_{abc} \frac{\lambda_c}{2}$$

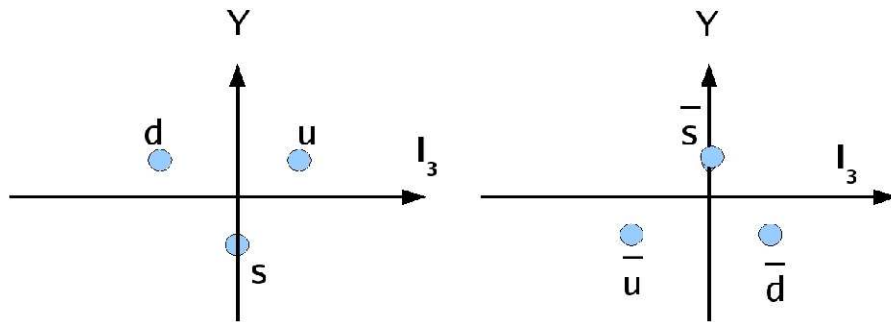
where  $f_{123} = 1$ ,  $f_{147} = f_{246} = f_{257} = f_{345} = \frac{1}{2}$ ,  
 $f_{156} = f_{367} = -\frac{1}{2}$  and  $f_{458} = f_{678} = \sqrt{3}/2$ .

# SU(3) Raising and Lowering Operators

- SU(3) contains 3 SU(2) subgroups embedded in it

$$\begin{aligned} \text{Isospin : } & F_1 \quad F_2 \quad F_3 \\ \text{U - spin : } & F_6 \quad F_7 \quad \sqrt{3}F_8 - F_3 \\ \text{V - spin : } & F_4 \quad F_5 \quad \sqrt{3}F_8 + F_3 \end{aligned}$$

- For each SU(2) subgroup we can form the usual raising and lowering operators
- Any two of the three subgroups are enough to navigate through all the members of the multiplet
- Fundamental representation: A triplet



- Define group structure of the state by starting at one corner and using raising and lowering operators

$$\begin{aligned} (V_-)^{p+1} \phi_{max} &= 0 \\ (I_-)^{1+1} \phi_{max} &= 0 \\ \text{structure : } & (p, q) \end{aligned}$$

- So quarks  $(u, d, s)$  have  $p = 1, q = 0$  while antiquarks  $(\bar{u}, \bar{d}, \bar{s})$  have  $p = 0, q = 1$

## Combining SU(3) states (2 quarks)

- Combining two SU(3) objects gives  $3 \times 3 = 9$  possible states

$$\begin{array}{cc}
 uu & \\
 \frac{1}{\sqrt{2}}(ud + du) & \frac{1}{\sqrt{2}}(ud - du) \\
 dd & \\
 \frac{1}{\sqrt{2}}(us + su) & \frac{1}{\sqrt{2}}(us - su) \\
 ss & \\
 \frac{1}{\sqrt{2}}(ds + sd) & \frac{1}{\sqrt{2}}(ds - sd) \\
 \mathbf{6} & \mathbf{\bar{3}} \\
 3 \otimes 3 & = 6 \oplus \bar{3}
 \end{array}$$

- We know that the triplet is a  $\bar{3}$  from its  $I_3$  and  $Y$ :



## Combining SU(3) states (a 3<sup>rd</sup> quark)

- $3 \otimes 3 \otimes 3 = 3 \otimes (6 \oplus \bar{3}) = 10_s \oplus 8_{M,S} \oplus 8_{M,A} \oplus 1$
- Start with the fully symmetric part of the **6**:

$$\begin{array}{ll}
 uuu & 3 \text{ such states} \\
 \frac{1}{\sqrt{3}}(ddu + udd + dud) & 6 \text{ such states} \\
 \frac{1}{\sqrt{6}}(dsu + uds + sud + sdu + dus + usd) & 1 \text{ such state}
 \end{array}$$

Ten states that are fully symmetric

- Now, the mixed symmetry part of the **6**:

$$\frac{1}{\sqrt{6}} [(ud + du)u - 2uud] \quad 8 \text{ such states}$$

Eight states like this

- Now on to the  $\bar{3}$ :

$$\frac{1}{\sqrt{6}} [(ud - du)s + (usd - dsu) + (du - ud)s] \quad 8 \text{ such states}$$

Eight states like this

- Final state, totally antisymmetric

## Combining SU(3) states ( $q\bar{q}$ )

- Start with  $\pi^+ = u \bar{d}$
- Using:

$$I_- |\bar{u}\rangle = -|\bar{d}\rangle$$

$$I_- |\bar{d}\rangle = +|\bar{u}\rangle$$

We find:

$$\begin{aligned} I_- |u\bar{d}\rangle &= -|uu\rangle + |dd\rangle \\ &= \sqrt{2} |I=1, I_3=0\rangle \\ \pi^0 &= \frac{1}{\sqrt{2}} (|d\bar{d}\rangle - |u\bar{u}\rangle) \end{aligned}$$

Doing this again:  $\pi^- = d \bar{u}$

- Now add strange quarks: 4 combinations

$$\begin{array}{cccc} u\bar{s} & d\bar{s} & \bar{u}s & \bar{d}s \\ K^+ & K^0 & K^- & \bar{K}^0 \end{array}$$

- One missing combination:

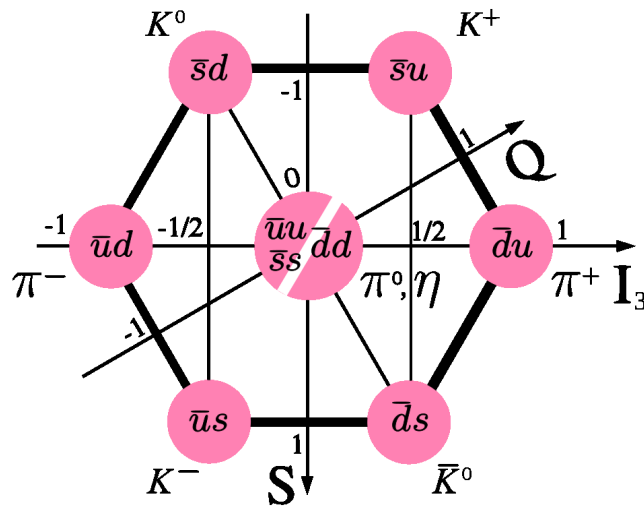
$$(d\bar{d} + u\bar{u} - 2s\bar{s})/\sqrt{6} \equiv \eta'$$

These 8 states are called an octet

- One additional independent combination: the singlet state

$$(u\bar{u} + d\bar{d} + s\bar{s})/\sqrt{6}$$

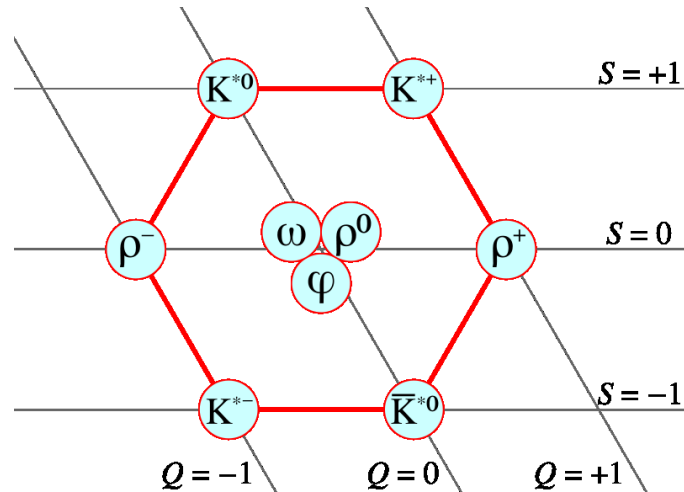
# Pseudoscalar Mesons ( $0^-$ )



I	I <sub>3</sub>	S	Meson	Combo	Decay	Mass (MeV)
1	1	0	$\pi^+$	$u\bar{d}$	$\mu^+\nu$	140
1	0	0	$\pi^0$	$\frac{1}{2}(d\bar{d} - u\bar{u})$	$\gamma\gamma$	135
1	-1	0	$\pi^-$	$d\bar{u}$	$\mu^-\bar{\nu}$	140
$\frac{1}{2}$	$\frac{1}{2}$	+1	$K^+$	$u\bar{s}$	$\mu^+\nu$	494
$\frac{1}{2}$	$-\frac{1}{2}$	+1	$K^0$	$d\bar{s}$	$\pi^+\pi^-$	498
$\frac{1}{2}$	$\frac{1}{2}$	-1	$K^-$	$\bar{u}s$	$\mu^-\bar{\nu}$	494
$\frac{1}{2}$	$-\frac{1}{2}$	-1	$\bar{K}^0$	$\bar{d}s$	$\pi^+\pi^-$	498
0	0	0	$\eta_8$	$\frac{1}{\sqrt{6}}(d\bar{d} + u\bar{u} - 2s\bar{s})$	see below	
0	0	0	$\eta_0$	$\frac{1}{\sqrt{3}}(d\bar{d} + u\bar{u} + s\bar{s})$	see below	

- Mass of strange mesons larger than non-strange by 150 MeV
  - Strange quark has a larger mass than up and down
  - Leads to SU(3) breaking in  $H$
- The  $\eta_8$  and  $\eta_0$  are degenerate if SU(3) were a perfect symmetry
  - Degenerate Perturbation Theory: The states can mix. Physical states are:
    - \*  $\eta$ : Mass=549 Decay:  $\eta \rightarrow 2\gamma$
    - \*  $\eta'$ : Mass=958 Decays:  $\eta' \rightarrow \eta\pi\pi$  or  $\gamma\gamma$

# Vector Mesons ( $1^-$ )

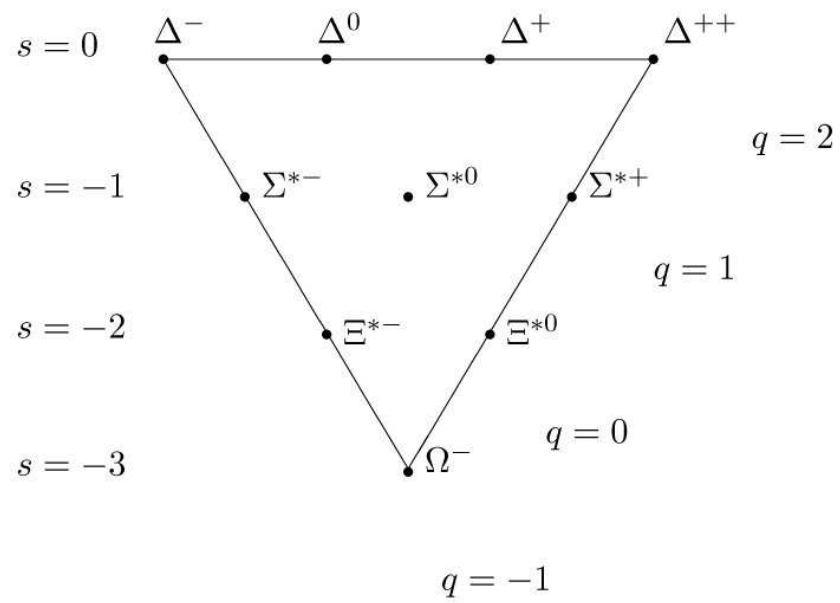


I	I <sub>3</sub>	S	Meson	Combo	Decay	Mass (MeV)
1	1	0	$\rho^+$	$u\bar{d}$	$\pi^+\pi^0$	776
1	0	0	$\rho^0$	$\frac{1}{2}(d\bar{d} - u\bar{u})$	$\pi^+\pi^-$	776
1	-1	0	$\rho^-$	$d\bar{u}$	$\pi^-\pi^0$	776
$\frac{1}{2}$	$\frac{1}{2}$	+1	$K^{*+}$	$u\bar{s}$	$K\pi$	892
$\frac{1}{2}$	$-\frac{1}{2}$	+1	$K^{*0}$	$d\bar{s}$	$K\pi$	892
$\frac{1}{2}$	$\frac{1}{2}$	-1	$K^{*-}$	$\bar{u}s$	$\bar{K}\pi$	892
$\frac{1}{2}$	$-\frac{1}{2}$	-1	$\bar{K}^{*0}$	$\bar{d}s$	$\bar{K}\pi$	892
0	0	0	$\omega$	$\frac{1}{2}(u\bar{u} + d\bar{d})$	783	$3\pi$
0	0	0	$\phi$	$s\bar{s}$	1019	$K\bar{K}$

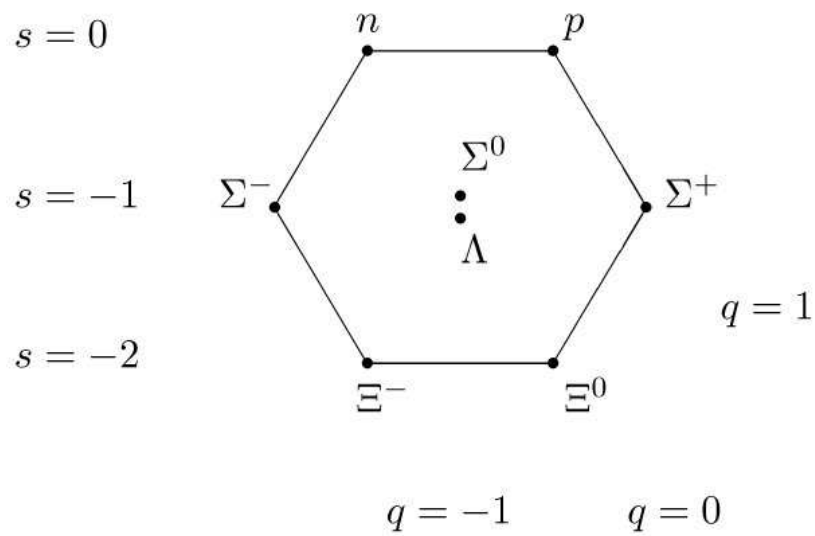
Last two states are given after the octet-singlet mixing. See the previous page for the SU(3) symmetric wave functions

- Unlike the pseudoscalars which decay weakly, the vectors can decay strongly
- The octet-nonet mixing is maximal in the case of the vector mesons
  - The  $\phi$  is all  $s\bar{s}$  while the  $\omega$  is all  $u\bar{u}$  and  $d\bar{d}$

## Baryon Decouplet ( $\frac{3}{2}^-$ )



## Baryon Octet ( $\frac{1}{2}^-$ )



## Comments on Antiparticles

- For mesons, particle and antiparticle are in the same multiplet
  - The multiplet is called “self-charge conjugate”
- For baryons, the antiparticles are in different multiplets
  - $10 \Rightarrow \overline{10}$
  - $8 \Rightarrow \overline{8}$

## A Comment on Fermi Statistics: Why Color

- Imposition of Fermi Statistics on Baryon States
  - $\Delta^{++} = uu$ , spin=3/2, s-wave: These are all symmetric under interchange
  - Need another degree of freedom to antisymmetrize (must have at least 3 possible states, since we are antisymmetrizing 3 objects)
- We'll see the week after next, that the QCD Lagrangian is based on an  $SU(3)_{\text{color}}$  interaction
  - Gluons are color octets
  - Observable hadrons are color singlets
  - The color singlet states are anti-symmetric under color exchange
    - \* This solves the Fermi statistics problem

# SU(3) Breaking and Mass Relations

- In SU(3) symmetric world, all members of a multiplet should have the same mass
  - Value of mass depends on binding energy: cannot calculate this since perturbative non-relativistic calculations not possible for low energy QCD
- Several reasons why the physical masses of the hadrons in a multiple are different
  - Difference in quark masses
    - \*  $m_d > m_u$  by a few MeV,  $m_s$  heavier by  $\sim 175$  MeV
  - Coulomb energy difference associated with the electrical energy between pairs of quarks
    - \* Of order  $e^2/R_0$ . With  $R_0 \sim 0.8$  fm, this  $\sim 2$  MeV
  - Magnetic energy differences associated with the magnetic moments of the quarks (hyperfine interaction)
    - \* Standard EM hyperfine splitting:
$$\Delta E = \vec{\mu} \cdot \vec{B} = \frac{2}{3} \vec{\mu}_i \cdot \vec{\mu}_j |\psi(0)|^2 = \frac{2\pi}{3} \frac{\alpha}{m_i m_j} \vec{\sigma}_i \cdot \vec{\sigma}_j |\psi(0)|^2 \quad (\text{a few MeV})$$
    - \* Color hyperfine splitting has same basic structure except  $\alpha$  is replaced with  $\alpha_s$  and the numerical factor in front is different (due to strong interaction color factors)

$$-\alpha \rightarrow \begin{cases} -\frac{4}{3}\alpha_s & \text{for } q\bar{q} \\ -\frac{2}{3}\alpha_s & \text{for } qq\bar{q} \end{cases}$$